JCO9 Rec'd PCT/PTO 07 OCT 2003.

Docket No.

278363US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Takao HARADA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP04/02922

GAU:

FILED:

Herewith

EXAMINER:

FOR:

METHOD FOR PRODUCING IMPROVED COAL FOR USE IN METALLURGY, AND METHOD FOR

PRODUCING REDUCED METAL AND SLAG CONTAINING OXIDIZED NONFERROUS METAL

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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10/552465 JC09 Rec'd PCT/PTQ+07 QCT 2005

Form PTO 1449 U.S. DEPARTMENT OF COMMER (Modified) PATENT AND TRADEMARK OFFI			T OF COMMERCE	ATTY DOCKET NO.		SERIAL NO. New U.S. PCT Application				
(Modified)		PATENT AND TR	ADEMARK OFFICE	278363US0PCT	Based on PCT/JP04/02922					
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT						
				Takao HARADA, et al.						
				FILING DATE		GROUP				
				Herewith						
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE			
/W.Z./	AA	5,601,631	02/11/97	RINKER, Franklin G. et al.		· .				
/W.Z./	AB	3,996,332	12/07/76	ELGER, Gerald W. et al.						
/W.Z./	AC	6,036,744	03/14/00	NEGAMI, Takuya et al.						
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			FO	REIGN PATENT DOCUMENTS						
		DOCUMENT	DATE	COUNTRY			TRANSLATION			
7, 6, 5, 5, 5, 5, 5, 5, 5, 5, 5, 5, 5, 5, 5,		NUMBÉR	DATE	COUNTRY		YES	NO NO			
/W.Z./	AO	2000-8047	01/11/00	JP			NO			
/W.Z./	AP	56-20085	02/25/81	JP			NO			
	AQ									
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	AS									
	AT									
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	AV			<u> </u>						
		OTHER R	EFERENCES (Including Author, Title, Date, Pertinen	t Pages, et	c.)				
	AW									
	ΑX									
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	AZ				☐ Addis	ional Refe	rences sheet(s) attached			
Examiner	/V					01/16/2008				
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in										
conformance and not considered. Include copy of this form with next communication to applicant.										

4C09 Rec'd PCT/PTO 07 OCT 2005.

New U.S. PCT Application Based on PCT/JP04/02922 Docket No.: 278363US0PCT

STATEMENT OF RELEVANCY

Coj	pies of these	references are		herewith only wh	tional Search Report nen not automatically
•			cited in the correst submitted herew	• -	Search Report. A
•	References _ ng submitted		ed in the specifica	ation. A copy of t	hese references is
4)	References	AA and AB	_ are additional p	rior art known to	Applicant.

40/552465 JC09 Rec'd PCT/PTO 07 OCT 2009

LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Patent Appl. Publication No.	Inventor/ Applicant
00023-1404-0	6,036,744	03/14/00		NEGAMI, et al.

^{*}Present Application; listed for information NFO/kch